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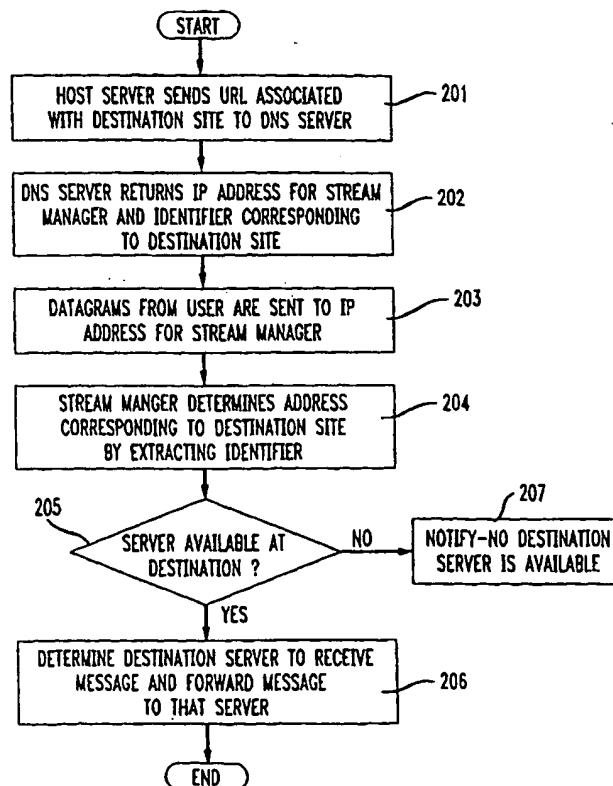
## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

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(54) Title: PACKET REDIRECTION AND MESSAGE STREAM MANAGEMENT

## (57) Abstract

A stream manager provides a way of redirecting and managing messaging and information access in a packet network environment. The stream manager comprises a computer-based router or server that behaves as if it were a gateway for a sub-network of destination site servers, and the stream manager is linked to a packet network, such as the Internet. The stream manager receives over the packet network a message from a user's host server initiated by a user and intended for a destination site. The message bears an address for the stream manager which is provided to the host server by the address server for the packet network, such as a server utilized as part of the domain name system. The stream manager determines the availability of at least one destination server at the destination site to receive and process the message. If a destination server at the destination site is available to receive and process the message, the stream manager selects a destination server from those available, and initiates the routing of the message to the selected destination server. If a destination site is unavailable, the stream manager may report that to the host server, along with other information (such as customer care or promotional information).



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**PACKET REDIRECTION AND MESSAGE STREAM MANAGEMENT****Technical Field**

This invention relates to packet network communications in general and, more particularly, provides a way of redirecting and managing messaging and  
5 information access over a packet network.

**Background of the Invention**

Packet networks are general-purpose data networks which are not tied to fixed-bandwidth circuits.  
10 Instead, they are designed to transmit bits (in the form of a packet of fixed or variable length) only when there are bits to transmit. Packet networks are well suited for sending stored data of various types, including messages, fax, speech, audio, video and still images.  
15 Typically, one accesses a packet network through a client program executing on a personal computer (PC), and so packet networks are inherently client/server driven. Packet networks provide access to distributed databases and have excellent search capabilities.  
20 There are millions users of packet networks in the United States, many of whom use the Internet (the largest and most renowned of the existing packet networks). The number of Internet users is growing rapidly and will continue to do so over the next decade.  
25 The Internet is implemented using a large variety of connections between computers spread throughout the United States and even across much of the globe; included are several subnetworks (sometimes called

"backbone" networks) used to carry digital "traffic" on the Internet. These interconnected computers can support applications, such as electronic mail and the World Wide Web, which facilitate communications between persons across the U.S. or around the globe. Users typically communicate over the Internet using a combination of hardware and software providing interconnectivity that is compatible with the Transmission Control Protocol/Internet Protocol (TCP/IP).

Communication on the Internet is inherently unlike commercial telephony in that it is connectionless -- that is, there is no physical channel assigned to the "connection." Instead, packets of information are relayed through the network from source to destination server. When no packets are currently being sent, there is no load on the transmission system. A communication failure occurs when packets are either lost (as might happen via queue overload at any node) or more often, when the load (i.e., queue of packets along with time to process each) at any processor is so long that a timeout is issued by the originator.

One type of computer used in establishing connections within the Internet is known as a server. Typically, servers used in connection with the Internet are operated by or on behalf of institutions or businesses attempting to provide timely and responsive communication or information services. Functionally, such services are analogous to communications services that terminate Public Switched Telephone Network (PSTN) calls, such as, e.g., voice mail, interactive voice response services, customer care, sales, etc.

Today, the Internet is often used to request information from, or to send information to, an individual or a private or public organization. Examples of such activities include World Wide Web

browsing, e-mail, inter-relay chat (IRC) and its Internet telephony incarnation. A person requesting or sending information shall be referred to herein as the source or sender, and the recipient of such a transmittal shall be referred to herein as the destination or recipient (with the understanding that the functional roles are reversed when the recipient replies). In each case, the sender addresses a message to a destination using a Uniform Resource Locator (URL) or an Internet Protocol (IP) address.

In a typical Web browsing session, a World Wide Web (WWW) user specifies a URL for the browser to "contact" for information. A URL is essentially a hierarchical access key that uniquely specifies a unit of content in a remote database that he wishes to reach. The content so referred to is often called a "Home Page" if it is regarded as the root node of a hierarchical data structure (sub-tree beneath it) or a sub-page if it is associated with a leaf node of such a tree. Each server has one or several "Home Pages" within it.

The URL address allows a user to select either the root or any of the sub-levels within any tree. In that sense, the address serves a function analogous to a telephone number, but in addition a URL has indefinite length allowing the equivalent of extensions to be specified to any level. Part of the URL translates directly into a network address for the destination server, by means of the Domain Name System (DNS) that is essentially a set of reference address servers that respond to URL contact requests by looking up the IP address corresponding to textual URLs.

When a user specifies a URL or selects a "hot link" or hyperlink on content already displayed, a DNS server supplies the IP address to be used in the header portion corresponding to the IP address for packets intended to be sent to the site corresponding to the URL. The

user's computer initiates contact by emitting one or more packets of data that traverse the Internet network and are processed by the receiving server. The receiving server then returns one or more packets of data to the user terminal, also over the Internet. The transfer of requests or information typically requires many packets. Because some packets transmitted across the Internet may be delayed because of network congestion and arrive after a the receiving server has timed out, network congestion can cause communication failure.

In the current Internet and in the Internet envisioned under Internet Protocol Version 6 (IPv6, the next generation Internet protocol), senders are now and are likely to continue to be confronted by "busy" messages when the destination is not functioning or is overloaded, or because network traffic is congested. Often, such messages give no real indication of what is happening. In any event, the requested transmission or interactive exchange ends abruptly, and the user must, at his or her own initiative, try again later. For busy sites, many such interactive attempts must be made before establishing a connection with the site, wasting users' time, reducing their efficiency and creating frustration.

For example, in the current art, assume that a user initiates a request for information found over a packet network (e.g., the Internet) at a destination (e.g., web page) by selecting a hyperlink displayed on a PC. The request is formulated by a gateway server (e.g., a host server operated by an Internet service provider) as a data message to a DNS server. In response, the DNS server returns to the requesting server an IP address corresponding to the intended destination. This destination address is then used in the header of a datagram. In the simplest case, the address might be

construed as "IP(user), IP(destination)," the IP addresses associated with the user's gateway and the destination server. The datagram is then routed through the packet network. To reply, the destination formats the reverse IP address "IP(destination), IP(user)." The destination server may respond automatically (as in a web page request), may store the received message (as in E-mail), or may forward the message to a customer premises terminal (as in IRC).

10 In IPv6, the sending server may specify a subnetwork provider over which traffic should be routed. In this case, then, the user's gateway server would specify the address "IP(user), subnetwork, IP(destination)" and the destination would specify "IP(destination), subnetwork, IP(user)" as the return address. If the desired network is congested or inoperative, the gateway server used by the user would ultimately format an "error" message to the user's PC. In that case, the user could then select another subnetwork and re-try; there is even some suggestion of dynamic subnetwork selection in real time. However, such selections would be done only as a means to avoid network congestion; subnetwork selections do not address server congestion at the destination site.

25 Traditionally, Internet users have been willing to tolerate delays because they have not usually attempted business activities. With growing interest in commercial use of the World Wide Web and Internet telephony, however, customers will expect higher levels of customer service, such as the level of services comparable to those that have been delivered in voice telephony.

30 While one approach to providing better response may be to provide extremely high bandwidth networks with great overcapacity (e.g., a private network, or a network based on advanced technology, such as ATM fast

packet switching), such an approach does not address the issue described above, which is due to traffic congestion in the network comprising the Internet and the servers connected to the Internet together with an inherently primitive way of dealing with "busy" conditions.

What is desired is a way to improve handling of messaging, including handling of requests for information and reduction of returned "busy" messages in a packet network environment.

#### Summary of the Invention

The present invention is directed to a way of redirecting and managing messaging and information access in a packet network environment. The stream manager comprises a computer-based router or server that behaves as if it were a gateway for a sub-network of destination site servers, and the stream manager is linked to a packet network, such as the Internet. The packet network has an address server, such as a server utilized as part of the domain name system. The stream manager receives over the packet network a message from a user's host server initiated by a user and intended for a destination site. The message bears an address for the stream manager which is provided to the host server by the address server for the packet network. The stream manager determines the availability of at least one destination server at the destination site to receive and process the message. If a destination server at the destination site is available to receive and process the message, the stream manager selects a destination server from those available, and initiates the routing of the message to the selected destination server. If a destination site is unavailable, the stream manager may report that to the host server, along with other information (such as customer care or



promotional information).

#### Brief Description of the Drawings

Figure 1 shows the relationship of packet network  
5 servers and a stream manager in accordance with the  
present invention.

Figure 2 shows a sequence of events for tail-end  
stream management occurring in accordance with the  
present invention.

10 Figure 3 shows the relationship of packet network  
servers and stream managers in accordance with an  
alternative embodiment of the present invention.

Figure 4 shows a sequence of events for network-  
based redirection occurring in accordance with an  
15 alternative embodiment of the present invention.

Figure 5 shows the relationship of packet network  
servers and stream manager for destination-based  
redirection in accordance with an alternative embodiment  
of the present invention.

20 Figure 6 shows the relationship of packet network  
servers and stream manager for a plurality of networks  
in accordance with an alternative embodiment of the  
present invention.

#### 25 Detailed Description

The present invention is directed to a way of  
improving message handling over a packet network, such  
as the Internet. The proposed invention alleviates the  
shortcomings of the current art and provides new network  
30 services using three alternative methods: (1) tail-end  
stream management, (2) network-based redirection and (3)  
destination-based redirection.

For purposes of illustration, in accordance with  
the present invention, a user desires to request  
35 information, to send information, or to establish a  
real-time connection with another user over a packet

network, such as the Internet or its corporate imitator, an intranet, and that the communications would be accomplished through packet network host servers. These relationships are illustrated in Figure 1. Using a personal computer (PC), a sending user 100 establishes a connection with packet network 101 through host server 102. User 100 may also have other communications devices available, such as a Plain Old Telephone Service (POTS) telephone, or a video camera, to provide multimedia information. Packet network 101 may, illustratively, be the Internet or an intranet; it may consist of a single packet network or a multiplicity of packet networks, such as e.g., the "backbone" networks comprising the Internet. Host server 102 may, illustratively, be a server connected with the Internet provided by, e.g., an Internet service provider, or may be any other server used for providing access to packet network 101.

#### 1. Tail-end Stream Management

In one embodiment of the present invention, a destination or recipient site 103, which user 100 wishes to contact, may be accessed over packet network 101 through a single host server or through one of several destination host servers, e.g. the three destination servers illustratively shown as servers 104 through 106 in Figure 1. A multiplicity of host servers, such as destination servers 104 through 106 illustrated in Figure 1, may be utilized in providing multiple shared access by different users of packet network 101 to information sources (such as, e.g., databases, audio, video or other multimedia information) available at destination site 103 (which may be, e.g., a site for an institution or a business). In the case where destination site 103 is for an individual, access may typically be made to destination site 103 through a single host server, e.g. server 104. Destination site

103 may be associated with any number of information or service suppliers that a typical user, such as user 100, may desire to access.

Packet network 101 also typically has at least one  
5 . domain name or DNS server 107, and there may be a multitude of such DNS servers present. DNS server 107 is a reference server that responds to URL contact requests by looking up an IP address corresponding to a requested textual URL. In accordance with the present  
10 invention, stream manager 108 interconnects with packet network 101 and destination site 103 to enable control of message traffic using tail-end stream management. In tail-end stream management, a URL address corresponding to a certain destination, such as destination site 103,  
15 is translated by DNS server 107 to an IP address corresponding to stream manager 108.

In handling a message intended for a destination site, stream manager 108 obtains any necessary information (such as an address) for identifying the  
20 destination site from information contained in the datagrams carrying the message (either in the header or in the accompanying payload data). A sequence of events occurring in accordance with the present invention is described with reference to the flow chart of Figure 2  
25 (reference numbers beginning with a '1' correspond to the reference numbers shown in Figure 1). When user 100 attempts to contact destination site 103 via a URL associated with destination site 103, at step 201 the user's host server 102 sends the URL to DNS server 107.  
30 At step 202, DNS server 107 returns to host server 102 the IP address for stream manager 108 as well as identifier (such as an IP address) corresponding to destination site 103. Such information may be henceforth passed between host server 102 and stream  
35 manager 108 and between stream manager 108 and the destination site 103 using a packet header, which allows

for greater speed when compared to passing address or other identifier information (e.g., a URL corresponding to the destination site) in the payload (i.e., in the message); however, the information may be passed in the  
5 payload.

At step 203, one or more datagrams are then sent by user 100 through host server 102 to the address for stream manager 108 returned by DNS server 107 and are received by stream manager 108. At step 204, stream  
10 manager 108 determines an address for destination site 103 by extracting an identifier corresponding to the destination site. The extracted identifier could be an IP address for site 103 as returned by DNS server 107. Alternatively, other information representing an  
15 identifier for site 103 (such as a URL corresponding to site 103) could be extracted by stream manager 108, which would then need to complete its determination of an IP address for destination site 103 from the identifier. Stream manager 108 then determines at step  
20 205 whether there is an available destination server. If so, at step 206 stream manager 108 then selects which one of the available destination servers in site 103 (server 104, server 105 or server 106) should receive the message from user 100; stream manager then initiates  
25 the routing of the message to the selected destination server by forwarding the message to the selected destination server. If no destination server is available, at step 207 stream manager 108 generates a response -- such as a "busy" message -- reporting to user  
30 100 that no destination server is available and sends the notice to user 100 via host server 102. Additionally, other information, such as, for example, advertising or other promotional information or customer care messages, may be included in a response message  
35 returned to the user from the stream manager.

The criteria affecting the particular response by

stream manager 108 could include relative traffic load on the servers 104, 105 and 106 and the availability of the requested information or service. If no server is available, stream manager 108 could return a predefined message or message template indicating that the request could not be filled at the present time and advantageously that the request was logged and that the requested information would be sent to user 100 at a later time. In the case of real-time chat or telephony such arrangements could be used to support customer care or calling centers (i.e., a set of agents arranged to handle distribution of calls arriving to a common site).

Also among the criteria affecting the response by stream manager 108 could be the selecting of an appropriate destination server (i.e., one of destination servers 104, 105 or 106) based upon information about the user, such as the user's prior interaction with the destination. Thus, for example, if user 100 has previously interacted with the destination through a particular destination server, the appropriate destination server to handle the current message may be the same server, and stream manager 108 could forward the message from user 100 to that same destination server. As another example, information about the location of user 100 (e.g., the location of the user's host server) could be used to select a destination server appropriate for handling messages from that location.

An application at destination site 103 may control the amount of interaction stream manager 108 has with the user's host server 102 after the destination server has been selected and successfully reached. Thus, the destination server could determine that subsequent messages between host server 102 and the selected destination server are routed through stream manager 108 or alternatively, that subsequent messages could be sent

between host server 102 and the selected destination server without any further interaction or involvement of stream manager 108. If destination site 103 retains involvement of stream manager 108, site 103 could  
5 instruct stream manager 108 to route further communications from user 100 to the same selected destination server. As an additional choice by destination site 103 could be to instruct stream manager 108 to return to host server 102 certain information,  
10 such as resource allocation information (e.g., reserved networks or other resources needed for the communication session with user 100).

In an alternative embodiment of the present invention, data connections between stream manager 108  
15 and destination servers 104, 105 and 106 could be used to provide site congestion information (e.g., message traffic load and capacity) to stream manager 108. Further, reply messages sent back from one of the host servers 104, 105 or 106 to user 100 through host 102  
20 could be directed through stream manager 108 to allow stream manager 108 to measure service quality.

Note that in routing messages to destination servers 104, 105 or 106, stream manager 108 could use a private connection (i.e., a network other than packet  
25 network 101), such as communications links 109, 110 or 111 shown in Figure 1 that correspond to servers 104 through 106, respectively. Alternatively, stream manager 108 could route messages to destination servers 104, 105 and 106 through a path that includes packet  
30 network 101, where servers 104 through 106 have connections to packet network 101 via communications links 112, 113 and 114, respectively, as shown in Figure 1.

In accordance with the present invention, each URL  
35 request made by user 100 to destination site 103 would be routed to network-based stream manager 108,

designated by an address supplied by DNS server 107. Stream manager 108 acts as a buffer, message storage, and control center for traffic flow into and out of the set of destination servers 104 through 106, including  
5 the destination server which ultimately is determined to be the one to process the particular request made by user 100. Among the tasks that stream manager 108 may perform for incoming requests made to a URL corresponding to destination site 103 and for outgoing  
10 traffic are:

- (1) To maintain a measure of the traffic load on each destination server for which it handles traffic. This may include using a model for processing loads for each URL that can be invoked.
- 15 (2) To feed requests to each destination server platform at a rate consistent with its currently-available (or projected) capacity and load.
- (3) To use information about the user (such as the location of the user or user's prior interaction with  
20 the destination) to select an appropriate destination server.
- (4) To generate "busy-please wait" return messages to user 100, the originator of the request made to destination site 103, if in fact no destination server  
25 is currently available to process the request. These messages may be processed by terminal equipment at the station of user 100 or advantageously by other "message center" nodes serving the user.
- (5) To ensure that returning datagrams are rerouted  
30 to a front-end mailbox associated with user 100, if required by and encoded into requests from user 100. Stream manager 108 would extract from the user's request and store the return information describing both the front-end mailbox for the user and the user's own IP  
35 address, and then merge this information into return traffic (content items typically) sent by the

destination server to the stream manager.

In another embodiment of the present invention, stream manager 108 might be integral with a network node serving as a proxy address for the actual destination  
5 server. For example, it might be an intranet gateway having the customer's web servers connected directly to it, or a powerful gateway server with built-in stream management.

Alternatively, as shown in Figure 3, the stream  
10 manager may be comprised of an intranet gateway or single gateway server 308a along with a set of stream manager servers (illustratively shown as 308b, 308c and 308d in Figure 3) located remotely from gateway 308a and interconnected with gateway 308a by an internal network  
15 301 (reference numbers in Figure 3 beginning with a '1' correspond to the reference numbers shown in Figure 1). Network 301 is internal to the stream manager and may be any kind of network, such as an intranet, suitable for communicating between gateway 308a and one of the  
20 servers 308b, 308c or 308d. Thus, with reference to the sequence shown in Figure 2, the address for the stream manager returned by DNS server 107 would be the address for gateway 308a. In such cases, gateway 308a would incorporate an index or a forwarding function for a URL  
25 request, which might be advantageously implemented by a substitution table (i.e., lookup table) which looks up the network address for one of the available stream manager servers, such as, illustratively, servers 308b, 308c or 308d as shown in Figure 3, to handle the  
30 message. Routing a message through a specific stream manager server by the gateway, and subsequent activity (such as, e.g., routing only a subset of incoming packet sequence i.e., those that require significant processing and storage while passing other traffic through directly  
35 to the destination node) may be determined on a customer-by-customer basis.



## 2. Network-based Redirection

In an alternative embodiment of the present invention, stream manager 108 could reply to a request made by user 100 by returning to host server 102 a  
5 redirection message that would, in turn, specify an IP address corresponding to a determined destination server among servers 104, 105 or 106. In this case, all subsequent traffic would flow directly between user 100 (through host server 102) and the chosen destination  
10 server, e.g., destination server 104, without the need to route messages through stream manager 108.

With reference to the flow chart of Figure 4, a sequence of events occurring in accordance with this alternative embodiment the present invention will be  
15 described in more detail (reference numbers beginning with a '1' correspond to the reference numbers shown in Figure 1). When user 100 attempts to contact destination site 103 via a URL associated with destination site 103, at step 401 the user's host server  
20 102 sends the URL to DNS server 107. At step 402, DNS server 107 returns to host server 102 the IP address for stream manager 108 as well as identifier (such as an IP address) corresponding to destination site 103. Such information may be henceforth passed between host server  
25 102 and stream manager 108 and between stream manager 108 and the destination site 103 using a packet header, which allows for greater speed when compared to passing address or other identifier information (e.g., a URL corresponding to the destination site) in the payload  
30 (i.e., in the message); however, the information may be passed in the payload.

At step 403, one or more datagrams are then sent by user 100 through host server 102 to the address for stream manager 108 returned by DNS server 107 and are  
35 received by stream manager 108. At step 404, stream manager 108 determines an address for destination site

103 by extracting an identifier corresponding to the destination site. The extracted identifier could be an IP address for site 103 as returned by DNS server 107. Alternatively, other information representing an  
5 identifier for site 103 (such as a URL corresponding to site 103) could be extracted by stream manager 108, which would then need to complete its determination of an IP address for destination site 103 from the identifier. Stream manager 108 then determines at step  
10 405 whether there is an available destination server. If so, at step 406 stream manager 108 then selects which one of the available destination servers in site 103 (server 104, server 105 or server 106) should receive messages from user 100. Stream manager 108 then  
15 initiates the routing of the message to the selected destination server by returning to user 100, through host server 102, the IP address for the selected destination server such that user 100 can then send and receive messages or other information, data or content  
20 from the selected destination server without routing through stream manager 108. If no destination server is available, at step 407 stream manager 108 generates a response -- such as a "busy" message -- notifying user 100 that no destination server is available and sends  
25 the notice to user 100 via host server 102. Additionally, other information, such as, for example, advertising or promotional information or customer care messages, may be included in a response message returned to the user from the stream manager.  
30 Similar to tail-end stream management, criteria for choosing among the alternative destination servers in network-based redirection could be relative traffic load on the servers 104 through 106, the availability of the requested information or service, and user information  
35 for selecting an appropriate destination server. If no server is available, stream manager 108 could return a

predefined message or message template indicating that the request could not be filled at the present time and advantageously that the request was logged and that the requested information would be E-mailed at a latter  
5 time. In the case of real-time chat or telephony such arrangements could be used to support customer care or call centers.

### 3. Destination-Based Redirection

10 In another alternative implementation, a stream manager wholly separate from the destination site, such as stream manager 108 as shown in Figure 1, would not be addressed at all. Rather, the IP address corresponding to a stream manager that is in fact a peripheral server  
15 associated with the destination site would be specified by the DNS server as the corresponding destination IP address. The relationships are shown in Figure 5 (reference numbers beginning with a '1' correspond to the reference numbers shown in Figure 1). Destination  
20 site 103 may be accessed over packet network 101 through destination stream manager 501. In the case of a business or institution, there may, in addition, be one or more destination servers, such as destination servers 502 through 504 as shown in Figure 5, which may be  
25 utilized in providing multiple shared access by different users of packet network 101 to information sources (such as, e.g., databases, audio, video or other multimedia information) available at destination site 103. In the case where destination site 103 is an  
30 individual, access may be made to destination site 103 through a single host server, e.g. server 502. Destination site 103 may be associated with any number of information or service suppliers that a typical user, such as user 100, may desire to access.

35 As discussed before with respect to Figure 1, packet network 101 also typically has at least one

domain name or DNS server 107, and there may be a multitude of such DNS servers present. DNS server 107 is a reference server that responds to URL contact requests by looking up an IP address corresponding to a requested textual URL. In accordance with the present invention, destination stream manager 501 is a computer-based server that acts as a gateway for a sub-network of destination servers, such as servers 502, 503 and 504 associated with destination site 103. Destination stream manager 501 responds to an initial request from user 100 with a redirection message, so that subsequent messages to or from user 100 would be sent between host server 102 and the determined destination server 502, 503 or 504; the process is similar to that described above with respect to Figure 4, with the exception that a destination identifier need not be extracted (the stream manager is already located at the destination site). Destination servers 502, 503 and 504 could be, e.g., telephony devices (such as packet telephony devices) for real-time data communications, in which case stream manager 501 acts in a role analogous to that of a central office switch in the PSTN.

Again, the criteria for choosing among the alternative destination servers could be relative traffic load on the destination servers 502-504, the availability of the requested information or service, and user information for selecting an appropriate destination server. If no server is available, destination server 501 could return a predefined message or message template indicating that the request could not be filled at the present time and advantageously that the request was logged and that the requested information would be sent to user 100 at a latter time. In the case of real-time chat or telephony such arrangements could be used to support customer centers.

#### 4. Multiple packet networks

In an alternative embodiment of the present invention, the stream manager of the present invention may operate in a multiple packet network environment such that a packet network may be selected from a plurality of packet networks. As shown in Figure 6, destination servers 104 through 106 may, illustratively, be connected to packet network 101 and/or to a second packet network 601 (reference numbers beginning with a '1' correspond to the reference numbers shown in Figure 1). Packet network 601 typically would have at least one DNS server, shown in Figure 6 as DNS server 607.

In this scenario, a message may be received from a user's host server through packet network 101 or packet network 601; for purposes of illustration, the user's host server is shown connected to packet network 101. Stream manager 608 directs messages to destination servers through either network 101 or network 601, whichever network has a link to the particular destination server. Where a destination server has links to more than one packet network, one of the packet networks (e.g., network 101 or network 601) may be preferred based upon criteria such as traffic volume, availability, etc. With reference to the example shown in Figure 6, destination server 104 has a link 609 to network 101, but no link to network 601. Thus, stream manager 608 may route messages for destination server 104 through network 101; alternatively, there may be a private link between stream manager 608 and destination server 104 that may be used for messaging.

Similarly, as shown in Figure 6, destination server 106 has a link 615 to network 601, but no link to network 101; thus, stream manager 608 may direct messages to destination server 106 through network 601 or, alternatively, through private link 613 (if it exists). Finally, destination server 105 has a link 610

to network 101 and a link 614 to network 601 and, thus, stream manager may direct messages to destination server 105 through either network 101 or network 601 (or, alternatively, through private link 612 if it exists) 5 depending upon any number of factors (such as, e.g., relative traffic load).

In another embodiment of the present invention, a stream manager that is used with the multiple packet network environment may be comprised of an intranet 10 gateway or single gateway server along with a set of stream manager servers as described above with reference to Figure 3.

**Implementation.** The stream manager of the present 15 invention may be implemented as a computer-based router or server that behaves as if it were a gateway for a sub-network of destination servers, such as servers 104, 105 and 106 associated with destination site 103 (as illustrated in Figure 1). The stream manager would have 20 the requisite hardware and software that provides an interface for communicating with servers or other devices over a packet network, such as, e.g., a combination of hardware and software providing interconnectivity that is TCP/IP compatible. Methods 25 for providing an interface with a packet network are well-known to those skilled in the art.

However, it should be pointed out that the stream manager of the present invention need not be a physical gateway; that is, the stream manager need not physically 30 stand between servers 102 and 103 (as illustrated in Figure 1), or between different parts of the network.

In summary, the present invention provides a way of improving message handling over a packet network, such as the Internet, using methods of tail-end stream 35 management, network-based redirection and destination-based redirection.

What has been described is merely illustrative of the application of the principles of the present invention. Other arrangements and methods can be implemented by those skilled in the art without  
5 departing from the spirit and scope of the present invention.

What is Claimed is:

- 1           1.    A method of operating a stream manager in a  
2 packet network environment, comprising the steps of:  
3               a.    receiving over the packet network a  
4 message initiated by a user, said message bearing an  
5 address for the stream manager and intended for a  
6 destination site;  
7               b.    determining the availability of at least  
8 one destination server at the destination site to  
9 receive and process the message; and  
10              c.    if at least one destination server at the  
11 destination site is available to receive and process the  
12 message:  
13                   i.    selecting a destination server from  
14 the at least one available destination server; and  
15                   ii.   initiating the routing of the  
16 message to the selected destination server.
- 1           2.    The method according to claim 1, wherein the  
2 address for the stream manager is an address other than  
3 one corresponding to the destination site.
- 1           3.    The method according to claim 2, wherein the  
2 step of initiating the routing of the message to the  
3 selected destination server comprises routing the  
4 message through the packet network to an address for the  
5 selected destination server.
- 1           4.    The method according to claim 3, wherein the  
2 address for the selected destination server is  
3 determined in accordance with the an identifier  
4 corresponding to the destination site.
- 1           5.    The method according to claim 4, further  
2 comprising the step of determining the identifier



3 corresponding to the destination site.

1        6. The method according to claim 5, wherein the  
2 step of determining the identifier corresponding to the  
3 destination site comprises extracting an address for the  
4 destination site from the packet header of a packet  
5 containing at least part of the message.

1        7. The method according to claim 2, wherein the  
2 step of initiating the routing of the message to the  
3 selected destination server comprises routing the  
4 message through a network other than the packet network  
5 to the selected destination server.

1        8. The method according to claim 2, wherein the  
2 step of initiating the routing of the message to the  
3 selected destination server comprises returning an  
4 address for the selected destination server to a host  
5 server associated with the user for use in sending  
6 messages from the user to the selected destination  
7 server.

1        9. The method according to claim 8, wherein the  
2 address for the selected destination server is  
3 determined in accordance with the an identifier  
4 corresponding to the destination site.

1        10. The method according to claim 9, further  
2 comprising the step of determining the identifier  
3 corresponding to the destination site.

1        11. The method according to claim 10, wherein the  
2 step of determining the identifier corresponding to the  
3 destination site comprises extracting an address for the  
4 destination site from the packet header of a packet  
5 containing at least part of the message.

1        12. The method according to claim 1, wherein the  
2 address for the stream manager corresponds to an address  
3 for the destination site.

1        13. The method according to claim 12, wherein the  
2 step of initiating the routing of the message to the  
3 selected destination server comprises returning an  
4 address for the selected destination server to a host  
5 server associated with the user for use in sending  
6 messages from the user to the selected destination  
7 server.

1        14. The method according to claim 1, wherein the  
2 step of selecting a destination server includes  
3 determining which one of each available destination  
4 server has the lowest message traffic load relative to  
5 that server's available capacity.

1        15. The method according to claim 14, further  
2 comprising the step of receiving at the stream manager  
3 information about congestion at the destination site,  
4 said congestion information including a measure of the  
5 message traffic load relative to the available capacity  
6 for each available destination server.

1        16. The method according to claim 15, wherein the  
2 step of receiving information about congestion at the  
3 destination site comprises receiving data over an  
4 established communications link with the destination  
5 site, said communications link excluding the packet  
6 network.

1        17. The method according to claim 1, wherein the  
2 step of selecting a destination server includes  
3 determining which one of each available destination

4 server is appropriate for handling the message from the  
5 user based upon information about the user.

1 18. The method according to claim 1, further  
2 comprising the step of directing replies from the  
3 destination site to the user through the stream manager  
4 to measure service quality relating to the destination  
5 site.

1 19. The method according to claim 1, wherein the  
2 stream manager's interaction with any messages to which  
3 the user and the destination site are parties is  
4 controlled by the destination site after the selected  
5 destination server has been successfully reached with a  
6 message initiated by the user.

1 20. The method according to claim 1, further  
2 comprising the step of rerouting reply messages from the  
3 destination server intended for the user to a front-end  
4 mailbox associated with the user.

1 21. The method according to claim 1, wherein the  
2 stream manager comprises a gateway coupled to a  
3 plurality of stream manager servers through an internal  
4 network.

1 22. The method according to claim 21, further  
2 comprising the step of determining one stream manager  
3 server from the plurality of stream manager servers to  
4 handle the message.

1 23. The method according to claim 1, further  
2 comprising the step of if no destination server is  
3 available, sending information to the user reporting the  
4 fact of unavailability.

1        24. The method according to claim 23, wherein the  
2 information sent to the user includes information of a  
3 promotional nature.

1        25. The method according to claim 23, wherein the  
2 information sent to the user includes customer care  
3 information.

1        26. A method of operating a stream manager in an  
2 environment having a plurality of packet networks,  
3 comprising the steps of:

- 4            a. receiving over one of the plurality of  
5 packet networks a message initiated by a user, said  
6 message bearing an address for the stream manager and  
7 intended for a destination site;
- 8            b. determining the availability of at least  
9 one destination server at the destination site to  
10 receive and process the message; and
- 11           c. if at least one destination server is  
12 available:
  - 13            i. selecting a destination server from  
14 the at least one available destination server;
  - 15            ii. determining from the plurality of  
16 packet networks a packet network over which the message  
17 should be routed to the destination server; and
  - 18            iii. routing the message to the selected  
19 destination server over the determined packet network.

1        27. The method according to claim 26, wherein the  
2 step of selecting a destination server includes  
3 determining which one of each available destination  
4 server has the lowest message traffic load relative to  
5 that server's available capacity.

1        28. The method according to claim 27, further  
2 comprising the step of receiving at the stream manager

3 information about congestion at the destination site,  
4 said congestion information including a measure of the  
5 message traffic load relative to the available capacity  
6 for each available destination server.

1       29. The method according to claim 28, wherein the  
2 step of receiving information about congestion at the  
3 destination site comprises receiving data over an  
4 established communications link with the destination  
5 site, said communications link excluding the determined  
6 packet network.

1       30. The method according to claim 26, wherein the  
2 step of selecting a destination server includes  
3 determining which one of each available destination  
4 server is appropriate for handling the message from the  
5 user based upon information about the user.

1       31. The method according to claim 26, further  
2 comprising the step of directing replies from the  
3 destination site to the user through the stream manager  
4 to measure service quality relating to the destination  
5 site.

1       32. The method according to claim 26, wherein the  
2 stream manager's interaction with any messages to which  
3 the user and the destination site are parties is  
4 controlled by the destination site after the selected  
5 destination server has been successfully reached with a  
6 message initiated by the user.

1       33. The method according to claim 26, further  
2 comprising the step of rerouting reply messages from the  
3 destination server intended for the user to a front-end  
4 mailbox associated with the user.

1        34. The method according to claim 26, wherein the  
2 stream manager comprises a gateway coupled to a  
3 plurality of stream manager servers through an internal  
4 network.

1        35. The method according to claim 34, further  
2 comprising the step of determining one stream manager  
3 server from the plurality of stream manager servers to  
4 handle the message.

1        36. The method according to claim 26, further  
2 comprising the step of if no destination server is  
3 available, sending information to the user reporting the  
4 fact of unavailability.

1        37. The method according to claim 36, wherein the  
2 information sent to the user includes information of a  
3 promotional nature.

1        38. The method according to claim 36, wherein the  
2 information sent to the user includes customer care  
3 information.

1        39. A stream manager that operates in a packet  
2 network environment, comprising:  
3            a. a communications interface compatible  
4 with the packet network; and  
5            b. a processor, wherein said processor is  
6 programmed to execute the steps of:  
7            i. receiving over the packet network a  
8 message initiated by a user, said message bearing an  
9 address for the stream manager and intended for a  
10 destination site;  
11            ii. determining the availability of at  
12 least one destination server at the destination site to  
13 receive and process the message; and

14                   iii. if at least one destination server  
15 at the destination site is available to receive and  
16 process the message:  
17                   (a) selecting a destination server  
18 from the at least one available destination server; and  
19                   (b) initiating the routing of the  
20 message to the selected destination server.

1           40. The stream manager according to claim 39,  
2 wherein the address for the stream manager is an address  
3 other than one corresponding to the destination site.

1           41. The stream manager according to claim 40,  
2 wherein the processor-executable step of initiating the  
3 routing of the message to the selected destination  
4 server comprises routing the message through the packet  
5 network to an address for the selected destination  
6 server.

1           42. The stream manager according to claim 41,  
2 wherein the address for the selected destination server  
3 is determined in accordance with the an identifier  
4 corresponding to the destination site.

1           43. The stream manager according to claim 42,  
2 wherein the processor is further programmed to execute  
3 the step of determining the identifier corresponding to  
4 the destination site.

1           44. The stream manager according to claim 43,  
2 wherein the processor-executable step of determining the  
3 identifier corresponding to the destination site  
4 comprises extracting an address for the destination site  
5 from the packet header of a packet containing at least  
6 part of the message.

1        45. The stream manager according to claim 40,  
2 wherein the processor-executable step of initiating the  
3 routing of the message to the selected destination  
4 server comprises routing the message through a network  
5 other than the packet network to the selected  
6 destination server.

1        46. The stream manager according to claim 40,  
2 wherein the processor-executable step of initiating the  
3 routing of the message to the selected destination  
4 server comprises returning an address for the selected  
5 destination server to a host server associated with the  
6 user for use in sending messages from the user to the  
7 selected destination server.

1        47. The stream manager according to claim 46,  
2 wherein the address for the selected destination server  
3 is determined in accordance with an identifier  
4 corresponding to the destination site.

1        48. The stream manager according to claim 47,  
2 wherein the processor is further programmed to execute  
3 the step of determining the identifier corresponding to  
4 the destination site.

1        49. The stream manager according to claim 48,  
2 wherein the processor-executable step of determining the  
3 identifier corresponding to the destination site  
4 comprises extracting an address for the destination site  
5 from the packet header of a packet containing at least  
6 part of the message.

1        50. The stream manager according to claim 39,  
2 wherein the address for the stream manager corresponds  
3 to an address for the destination site.



1        51. The stream manager according to claim 50,  
2 wherein the processor-executable step of initiating the  
3 routing of the message to the selected destination  
4 server comprises returning an address for the selected  
5 destination server to a host server associated with the  
6 user for use in sending messages from the user to the  
7 selected destination server.

1        52. The stream manager according to claim 39,  
2 wherein the processor-executable step of selecting a  
3 destination server includes determining which one of  
4 each available destination server has the lowest message  
5 traffic load relative to that server's available  
6 capacity.

1        53. The stream manager according to claim 52,  
2 wherein the processor is further programmed to execute  
3 the step of receiving at the stream manager information  
4 about congestion at the destination site, said  
5 congestion information including a measure of the  
6 message traffic load relative to the available capacity  
7 for each available destination server.

1        54. The stream manager according to claim 53,  
2 wherein the processor-executable step of receiving  
3 information about congestion at the destination site  
4 comprises receiving data over an established  
5 communications link with the destination site, said  
6 communications link excluding the packet network.

1        55. The stream manager according to claim 39,  
2 wherein the processor-executable step of selecting a  
3 destination server includes determining which one of  
4 each available destination server is appropriate for  
5 handling the message from the user based upon  
6 information about the user.

1        56. The stream manager according to claim 39,  
2 wherein the processor is further programmed to execute  
3 the step of directing replies from the destination site  
4 to the user through the stream manager to measure  
5 service quality relating to the destination site.

1        57. The stream manager according to claim 39,  
2 wherein the stream manager's interaction with any  
3 messages to which the user and the destination site are  
4 parties is controlled by the destination site after the  
5 selected destination server has been successfully  
6 reached with a message initiated by the user.

1        58. The stream manager according to claim 39,  
2 wherein the processor is further programmed to execute  
3 the step of rerouting reply messages from the  
4 destination server intended for the user to a front-end  
5 mailbox associated with the user.

1        59. The stream manager according to claim 39,  
2 wherein the processor is further programmed to execute  
3 the step of sending information to the user reporting  
4 the fact of unavailability if no destination server is  
5 available.

1        60. The stream manager according to claim 59,  
2 wherein the information sent to the user includes  
3 information of a promotional nature.

1        61. The stream manager according to claim 59,  
2 wherein the information sent to the user includes  
3 customer care information.

1        62. A stream manager that operates in an  
2 environment having a plurality of packet networks,

3 comprising:

4           a. a communications interface compatible  
5 with the packet network; and

6           b. a processor, wherein said processor is  
7 programmed to execute the steps of:

8               i. receiving over one of the plurality  
9 of packet networks a message initiated by a user, said  
10 message bearing an address for the stream manager and  
11 intended for a destination site;

12               ii. determining the availability of at  
13 least one destination server at the destination site to  
14 receive and process the message; and

15               iii. if at least one destination server  
16 is available:

17                       (a) selecting a destination server  
18 from the at least one available destination server;

19                       (b) determining from the plurality  
20 of packet networks a packet network over which the  
21 message should be routed to the destination server; and

22                       (c) routing the message to the  
23 selected destination server over the determined packet  
24 network.

1           63. The stream manager according to claim 62,  
2 wherein the processor-executable step of selecting a  
3 destination server includes determining which one of  
4 each available destination server has the lowest message  
5 traffic load relative to that server's available  
6 capacity.

1           64. The stream manager according to claim 63,  
2 wherein the processor is further programmed to execute  
3 the step of receiving at the stream manager information  
4 about congestion at the destination site, said  
5 congestion information including a measure of the  
6 message traffic load relative to the available capacity

7 for each available destination server.

1       65. The stream manager according to claim 64,  
2 wherein the processor-executable step of receiving  
3 information about congestion at the destination site  
4 comprises receiving data over an established  
5 communications link with the destination site, said  
6 communications link excluding the determined packet  
7 network.

1       66. The stream manager according to claim 62,  
2 wherein the processor-executable step of selecting a  
3 destination server includes determining which one of  
4 each available destination server is appropriate for  
5 handling the message from the user based upon  
6 information about the user.

1       67. The stream manager according to claim 62,  
2 wherein the processor is further programmed to execute  
3 the step of directing replies from the destination site  
4 to the user through the stream manager to measure  
5 service quality relating to the destination site.

1       68. The stream manager according to claim 62,  
2 wherein the stream manager's interaction with any  
3 messages to which the user and the destination site are  
4 parties is controlled by the destination site after the  
5 selected destination server has been successfully  
6 reached with a message initiated by the user.

1       69. The stream manager according to claim 62,  
2 wherein the processor is further programmed to execute  
3 the step of rerouting reply messages from the  
4 destination server intended for the user to a front-end  
5 mailbox associated with the user.

1       70. The stream manager according to claim 62,  
2 wherein the processor is further programmed to execute  
3 the step of sending information to the user reporting  
4 the fact of unavailability if no destination server is  
5 available.

1       71. The stream manager according to claim 70,  
2 wherein the information sent to the user includes  
3 information of a promotional nature.

1       72. The stream manager according to claim 70,  
2 wherein the information sent to the user includes  
3 customer care information.

1       73. A stream manager that operates in a packet  
2 network environment, comprising:  
3           a. a communications interface compatible  
4 with the packet network;  
5           b. a network internal to the stream manager;  
6           c. a plurality of stream manager servers  
7 coupled to the internal network; and  
8           d. a gateway coupled to the internal  
9 network, said gateway comprising a processor programmed  
10 to execute the steps of:  
11           i. receiving over the packet network a  
12 message initiated by a user; said message bearing an  
13 address for the stream manager and intended for a  
14 destination site;  
15           ii. selecting one server from the  
16 plurality of stream manager servers to handle the  
17 message; and  
18           iii. forwarding the message to the  
19 selected stream manager server.

1       74. The stream manager according to claim 73,  
2 wherein the selected server comprises:

- 3           a. a communications interface compatible
- 4 with the packet network; and
- 5           b. a processor programmed to execute the
- 6 steps of:
- 7           i. determining the availability of at
- 8 least one destination server at the destination site to
- 9 receive and process the message; and
- 10           ii. if at least one destination server
- 11 at the destination site is available to receive and
- 12 process the message:
- 13           (a) selecting a destination server
- 14 from the at least one available destination server; and
- 15           (b) initiating the routing of the
- 16 message to the selected destination server.

- 1       75. A stream manager that operates in an
- 2 environment having a plurality of packet networks,
- 3 comprising:
- 4       a. a communications interface compatible
- 5 with a least one of the plurality of packet networks;
- 6       b. a network internal to the stream manager;
- 7       c. a plurality of stream manager servers
- 8 coupled to the internal network; and
- 9       d. a gateway coupled to the internal
- 10 network, said gateway comprising a processor programmed
- 11 to execute the steps of:
- 12       i. receiving over one of the plurality
- 13 of packet networks a message initiated by a user, said
- 14 message bearing an address for the stream manager and
- 15 intended for a destination site;
- 16       ii. selecting one server from the
- 17 plurality of stream manager servers to handle the
- 18 message; and
- 19       iii. forwarding the message to the
- 20 selected stream manager server.

1        76. The stream manager according to claim 75,  
2 wherein the selected server comprises:  
3            a. a communications interface compatible  
4 with at least one of the plurality of packet networks;  
5 and  
6            b. a processor programmed to execute the  
7 steps of:  
8            i. determining the availability of at  
9 least one destination server at the destination site to  
10 receive and process the message; and  
11            ii. if at least one destination server  
12 at the destination site is available to receive and  
13 process the message:  
14                (a) selecting a destination server  
15 from the at least one available destination server; and  
16                (b) determining from the plurality  
17 of packet networks a packet network over which the  
18 message should be routed to the destination server; and  
19                (c) routing the message to the  
20 selected destination server over the determined packet  
21 network.

1/6

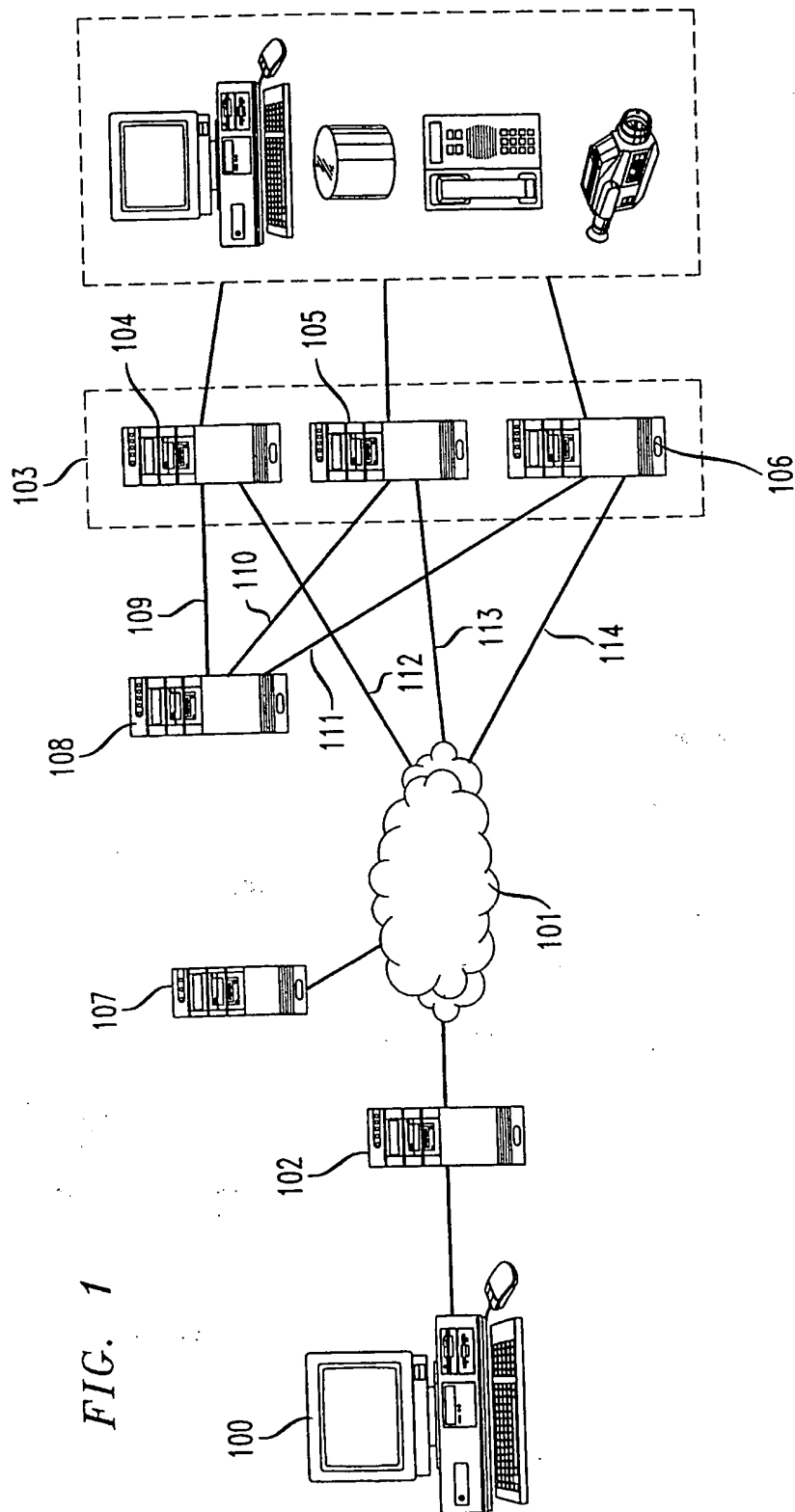
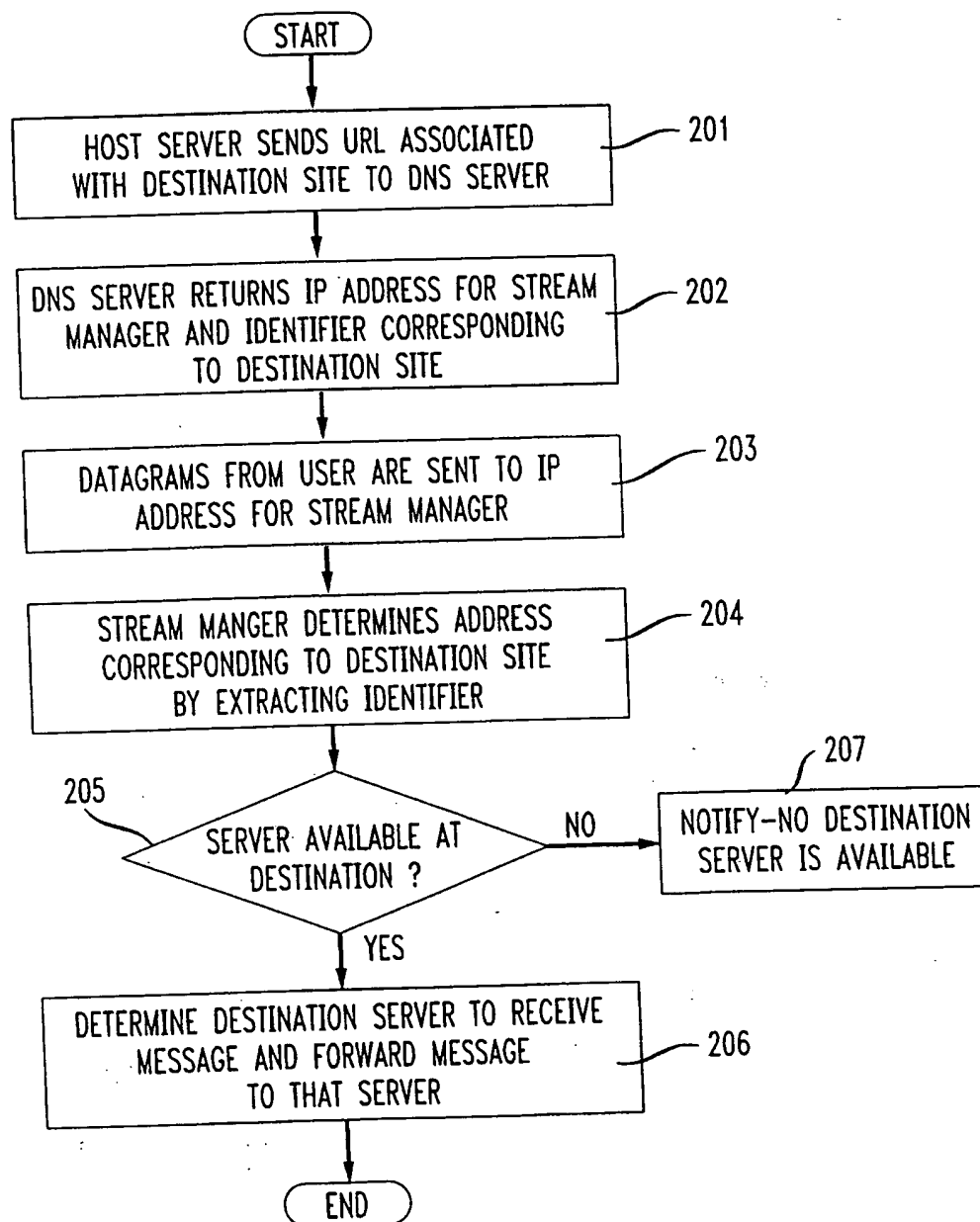


FIG. 1



2/6

FIG. 2



3/6

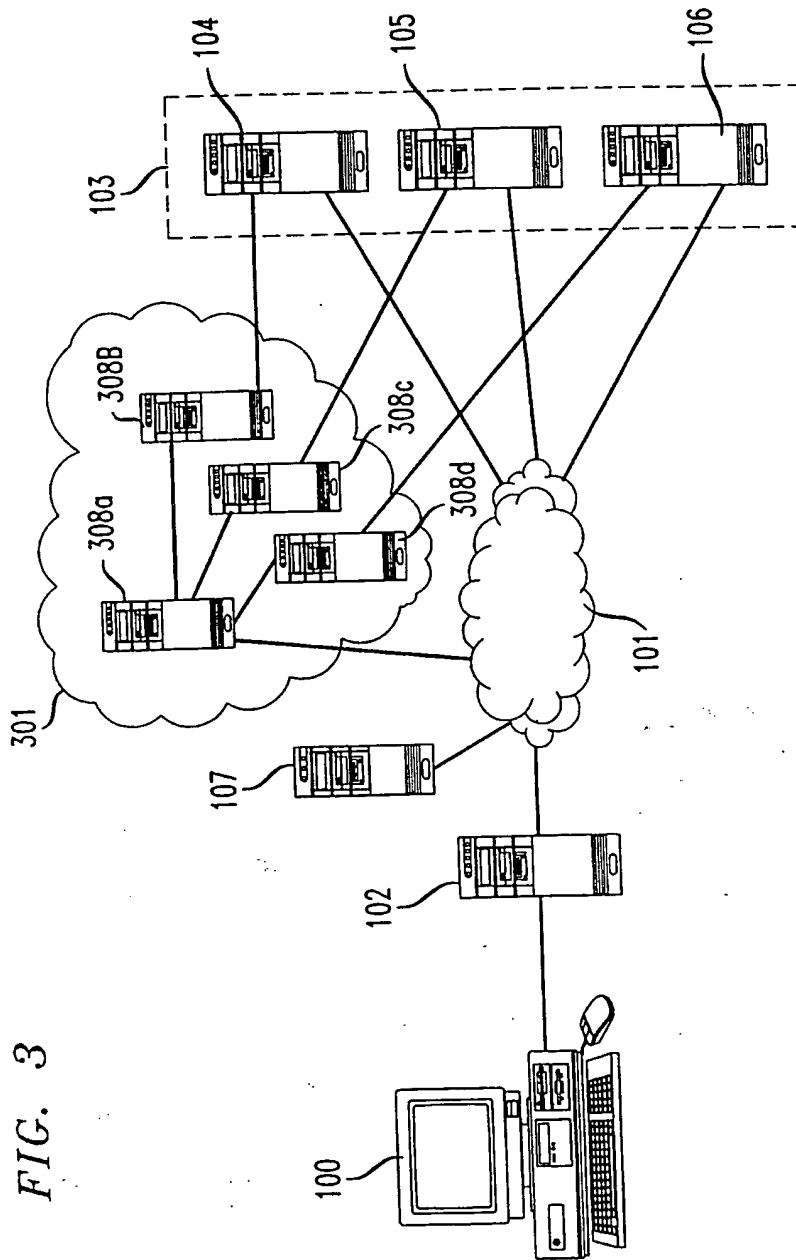
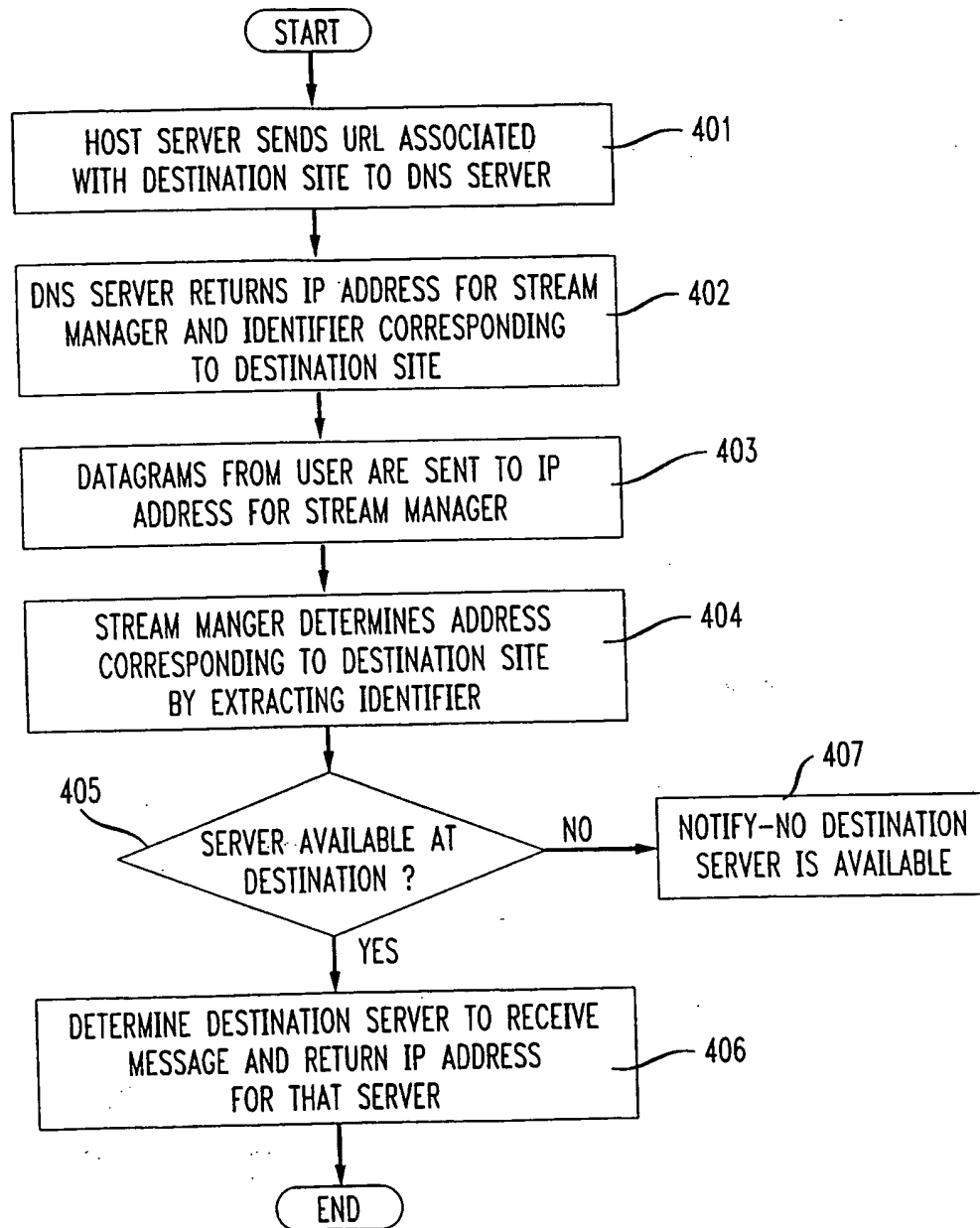


FIG. 3

4/6

FIG. 4



5/6

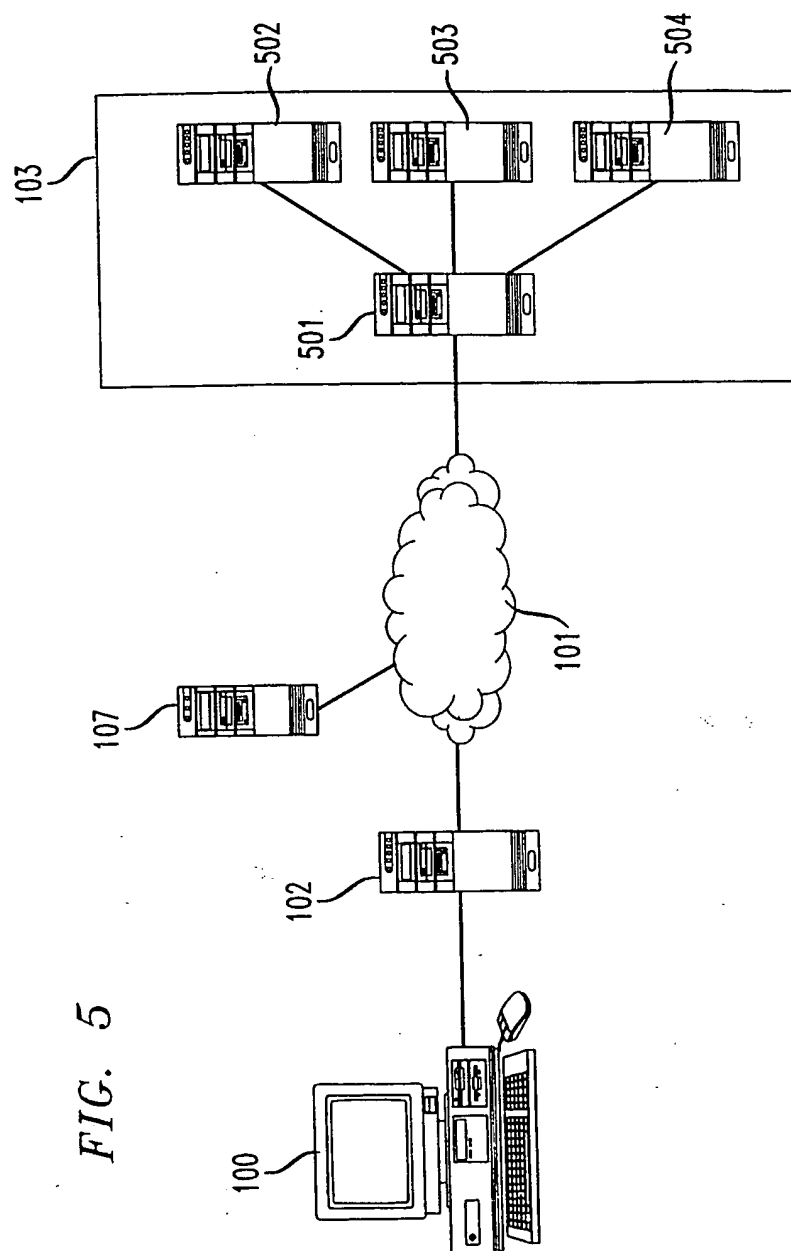
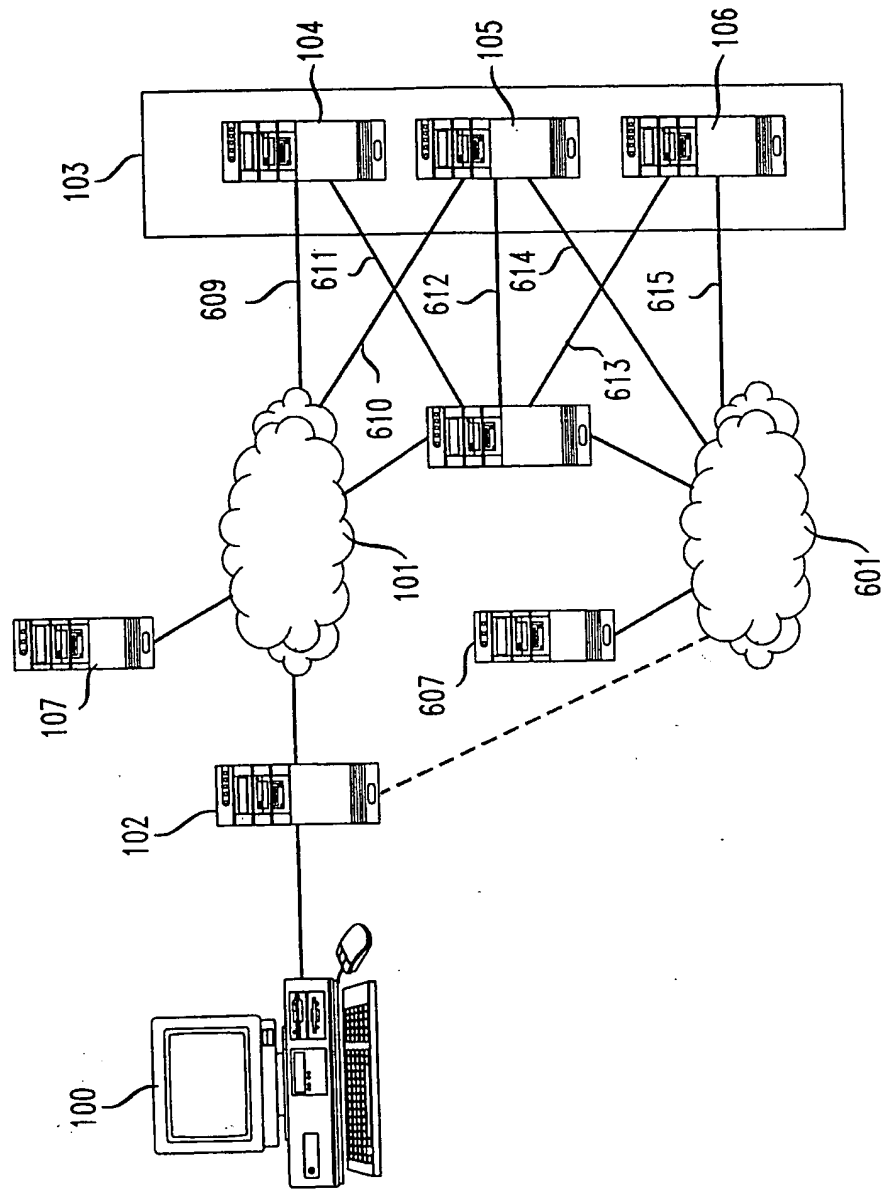


FIG. 6



# INTERNATIONAL SEARCH REPORT

International Application No  
PCT/US 98/14943

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 6 H04L29/06

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 6 H04L

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	<p>EP 0 762 704 A (DIGITAL EQUIPMENT CORP) 12 March 1997</p> <p>see abstract see column 2, line 33 - line 44 see column 3, line 57 - line 59 see column 4, line 55 - column 5, line 13 see column 6, line 27 - line 37 see column 6, line 47 - column 7, line 27 see figure 2</p> <p style="text-align: center;">--- -/-</p>	<p>1-3,8, 26, 39-41, 46,62, 73,74</p>

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

### \* Special categories of cited documents :

- "A" document defining the general state of the art which is not considered to be of particular relevance
- "E" earlier document but published on or after the international filing date
- "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- "O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the international filing date but later than the priority date claimed

- "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
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Date of the actual completion of the international search

17 December 1998

Date of mailing of the international search report

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# INTERNATIONAL SEARCH REPORT

tional Application No

PCT/US 98/14943

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	<p>MEEMPAT G ET AL: "Combined access control and routing for connection oriented traffic in high-speed networks: A two-level approach"</p> <p>COMPUTER NETWORKS AND ISDN SYSTEMS, vol. 29, no. 6, May 1997, page 631-652</p> <p>XP004059545</p> <p>see abstract</p> <p>-----</p>	1-76

# INTERNATIONAL SEARCH REPORT

Information on patent family members

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